

Programme for Employability, Inclusion and Learning 2014-2020

Designation Update

PEIL
Programme Monitoring Committee
11th November 2016



Ireland's European Structural and Investment Funds Programmes 2014-2020

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Designation - Regulations

- ❑ Articles 123 and 124 of CPR Regulation 1303/2013
- ❑ Aim “to ensure that the MA and CA have the necessary and appropriate MCS set up from the start of the period to ensure they can fulfil the responsibilities assigned to them under Articles 125 and 126 CPR”
- ❑ Article 124(1) – Member State must notify Commission of designations before first interim payment application
- ❑ Assessment to be carried out by IAB

Guidance

- ❑ Guidance for the Commission and Member States on a common methodology for the assessment of management and control systems in the Member States
 - ❑ Annex 1 – Key Requirements for MA and its IB(s)
- ❑ Guidance for Member States on Designation Procedure
 - ❑ Annex 3 – “Checklist for assessing the compliance....with the designation criteria”
- ❑ Annex III of Regulation 1011/2014
 - ❑ “Model for the description of the functions and procedures in place for the managing authority and the certifying authority”
 - ❑ Not a template, but a useful list.

Designation Criteria

- ❑ **Annex XIII of CPR Regulation 1303/2013**
- ❑ Internal Control Environment
 - ❑ Organisational Structure, Allocation of Functions, Separation of Functions
 - ❑ Framework for delegation of tasks to IBs
 - ❑ Procedures for reporting and monitoring irregularities
 - ❑ Plan for allocation of human resources
- ❑ Risk Management
 - ❑ Framework for conducting an appropriate risk management exercise

Designation Criteria

- ❑ Management and Control Activities – MA procedures
 - ❑ Grant applications, project selection
 - ❑ Management verifications
 - ❑ Processing payments
 - ❑ Data collection and storage
 - ❑ Accounting
 - ❑ Anti-fraud
 - ❑ Audit Trail and Archiving
 - ❑ Management Declaration, Annual Summary etc
 - ❑ Provision of document re conditions of support

Designation Criteria

- ❑ Management and Control Activities – CA procedures
 - ❑ Certifying Interim Payment Applications
 - ❑ Drawing up Accounts
 - ❑ Adequate Audit Trail
 - ❑ Procedures for ensuring information on verifications from MA
- ❑ Monitoring – MA Procedures
 - ❑ Support work of PMC
 - ❑ Drawing up AIRs
- ❑ Monitoring – CA Procedures
 - ❑ Monitoring results of management verifications and audits

MCS Description

- ❑ “Forms the basis for the audit work to be carried out by the IAB”
- ❑ Management and Control System (MCS) Description
 - ❑ Summary setting out information on all of the designation criteria.
 - ❑ Information on MA, CA, AA and IBs, including Organisational Structures.
 - ❑ Refers to other procedure documents.
 - ❑ Detailed section on Information Systems
 - ❑ Data Collection Procedures
 - ❑ Description of IT System

ESFMA Structure

Andrew

Ashling; Pat; Iain

SOLAS
DSP
DHPCLG

Michael

Therese; Paul; Noreen

HEA
DJE
IHREC
Horizontal Principles

Hugh

Catherine, Nicola, Frances-
Mary, Catherine

Central
Services

Designation Process

- ❑ Carried out by Independent Audit Body
- ❑ Examination of –
 - ❑ MCS Description
 - ❑ Administrative Agreements and Service Level Agreements
 - ❑ Procedures Manual
- ❑ For IBs –
 - ❑ Procedures Manual
- ❑ “Performance of audit work” and “interviews with staff”

Timeline

- ❑ MA is updating MCS Description
 - ❑ Will liaise with IBs re org structures etc
- ❑ Administrative Agreements and SLAs
 - ❑ 5/7 now finalised
- ❑ Aim to have documents ready by end-2016

- ❑ IBs
 - ❑ Procedures Manual Preparation
 - ❑ MA happy to assist